



ANDREW MORGANTI CLAIMS ADMINISTRATOR  
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**AUXLY CANNABIS GROUP, INC. SETTLEMENT CLAIM FORM**

Only shares purchased during the identified Class Period and held until after February 6, 2019, will be considered to be included within the Settlement.

Class Period: ONLY SHARES PURCHASED BETWEEN NOVEMBER 12, 2018 THROUGH FEBRUARY 6, 2019

You must complete the form below before April 13, 2024, so you can register to receive a distribution of the Settlement Funds.

**PART A**

Full Name\* \_\_\_\_\_

Email\* \_\_\_\_\_

Phone Number\* \_\_\_\_\_

Street Address\* \_\_\_\_\_

Address Line2\* \_\_\_\_\_

City\* \_\_\_\_\_

Province/State\* \_\_\_\_\_

Postal Code\* \_\_\_\_\_

Country\* \_\_\_\_\_



**PART B**

Securities Purchased / Selling Dates

Please list each purchase date and sell date

Only shares purchased between November 12, 2018 and February 6, 2019, and held after February 6, 2019, qualify.

Purchase Date

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Purchase/Sell Date

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Purchase/Sell Date

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Purchase/Sell Date

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Purchase/Sell Date

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Purchase/Sell Date

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Number of Securities owned after February 6, 2019, but only the number that were purchased after November 12, 2018

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If you require additional lines, you must annex the purchase/sell dates on a separate page.

Your brokerage statements must match your trade data disclosures.

You must provide the following Supporting Documents to be eligible.



Government issued identification that matches your  
brokerage account statements  
Your November and December 2018 Brokerage Statements  
Your January and February 2019 Brokerage Statements  
Your February 2019 Brokerage Statement is required

**If you are having any difficulties or have questions**  
[auxly@bergermontague.ca](mailto:auxly@bergermontague.ca).

### PART C

Whether or not if you qualify for a pro rata share of the Settlement Funds you will be contacted after the Claims Bar Deadline as to whether the amount can be e-transferred or sent by check.

Class or Class Members means a natural or legal person meets the following criteria:

**Class Members:** All persons and entities, other than Excluded Persons, wherever they may reside or be domiciled, who acquired Auxly's securities between November 12, 2018 and February 6, 2019, and held some or all of those securities after February 6, 2019.

**Excluded Persons:** Means the defendants and the individual defendants' immediate family members, and persons or entities that properly opt-out of the class in accordance with the certification order issued by the court.

**General Statement about Damages:** The measure of damages under the Ontario Securities Act is not your realized or unrealized losses, but rather the analysis starts at the date of the Public Corrective Statement(s) being released into the market to learn the amount the security dropped in price/value.

The maximum damages would be the price immediately prior to the release of the Public Corrective Statement and the average price between that date and the 10th trading day.

**THIS FORM MUST BE RETURNED PRIOR TO APRIL 13, 2024;**  
[auxly@bergermontague.ca](mailto:auxly@bergermontague.ca)